

07-30-01

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PTO/SB/05 (1/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. H0002135

First Inventor or Application Identifier Johnson et al.

Title Variable Enhanced Ground Proximity Warning System
Look-Ahead Offset and Sub-Offset

Express Mail Label No. EL654459476US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Please charge the fees indicated on the attached fee transmittal and credit overpayments or charge any and all fees due throughout the pendency of this application to Deposit Account No. 01-1125.
2. ☒ Specification [Total Pages] 15
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets] 8
4. Oath or Declaration [Total Pages] 2
- a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information Examiner Unknown Examiner Group / Art Unit Unassigned

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

000128

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

| | | | | | |
|---------|---------------------------------|-----------|----------------|----------|----------------|
| Name | Eric G. Halsne | | | | |
| | Honeywell International Inc | | | | |
| Address | 101 Columbia Road, P O Box 2245 | | | | |
| City | Morristown | State | NJ | Zip Code | 07962 |
| Country | USA | Telephone | (425) 376-2107 | Fax | (425) 885-8797 |

| | | | |
|-------------------|-----------------------|-----------------------------------|---------------|
| Name (Print/Type) | Eric G. Halsne | Registration No. (Attorney/Agent) | 46,753 |
| Signature | <i>Eric G. Halsne</i> | Date | July 27, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1

These are the fees effective October 1, 1997

Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28.**TOTAL AMOUNT OF PAYMENT** (\$) 956 00**Complete if Known**

| | |
|----------------------|---------------|
| Application Number | Unknown |
| Filing Date | Herewith |
| First Named Inventor | Johnson et al |
| Examiner Name | N/A |
| Group / Art Unit | N/A |
| Attorney Docket No. | H0002135 |

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to.

| | |
|------------------------|-----------------------------|
| Deposit Account Number | 01-1125 |
| Deposit Account Name | Honeywell International Inc |

- ☒
- Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
- ☐
- Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

- 2.
- ☐
- Payment Enclosed:
-
- ☐
- Check
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|--------------------------|----------------------------|----------------------------|------------------------|----------|
| 101 | 710 | 201 355 | Utility filing fee | 710 00 |
| 106 | 320 | 206 160 | Design filing fee | |
| 107 | 490 | 207 245 | Plant filing fee | |
| 108 | 710 | 208 355 | Reissue filing fee | |
| 114 | 150 | 214 75 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | | 710 00 |

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|---------------|----------------|----------|
| Total Claims | 27 - 20** = 7 | 18 | 126 |
| Independent Claims | 4 - 3** = 1 | 80 | 80 |
| Multiple Dependent | | | |

**or number previously paid, if greater, For Reissues, see below

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-----|----------------------------|----------------------------|--|
| 103 | 18 | 203 9 | Claims in excess of 20 |
| 102 | 80 | 202 40 | Independent claims in excess of 3 |
| 104 | 260 | 204 130 | Multiple dependent claim, if not paid |
| 109 | 78 | 209 39 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 206.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

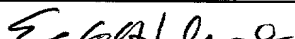
| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 105 | 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 | Non-English specification | |
| 147 | 2,520 | 147 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 | Extension for reply within first month | |
| 116 | 390 | 216 195 | Extension for reply within second month | |
| 117 | 890 | 217 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 945 | Extension for reply within fifth month | |
| 119 | 300 | 219 150 | Notice of Appeal | |
| 120 | 300 | 220 150 | Filing a brief in support of an appeal | |
| 121 | 260 | 221 130 | Request for oral hearing | |
| 138 | 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 | Petition to revive - unavoidable | |
| 141 | 1,210 | 241 605 | Petition to revive - unintentional | |
| 142 | 1,210 | 242 605 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 215 | Design issue fee | |
| 144 | 580 | 244 290 | Plant issue fee | |
| 122 | 130 | 122 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 690 | 246 345 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 690 | 249 345 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40 00**SUBMITTED BY**

| | |
|-----------------------|---|
| Typed or Printed Name | Eric G Halsne |
| Signature |  |

Date July 27 2001**Complete (if applicable)**

| | |
|-------------------------|---------|
| Reg. Number | 46,753 |
| Deposit Account User ID | 01-1125 |

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.